## AN INVESTIGATION INTO GENERAL PURPOSE COMPUTATION ON GRAPHICS PROCESSING UNITS (GPGPU)

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### Abstract

General Purpose Computing on Graphics Processing Units is an infant field of computer science, and exposes exciting areas in which the power of graphics processing units can be harnessed to solve problems that are either otherwise too computationally expensive on conventional central processing units, or to gain increased performance. A number of classic computing problems were implemented on the GPU, and bench marked against CPU implementations. Results from this testing have shown GPGPU to be viable across a number of different areas. An analysis model was also developed in order to give better insight into the expected performance of a GPGPU implementation of a problem on the outset without having to make a comparative implementation.

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## Chapter 1

## Introduction

General Purpose Computation on Graphics Processing Units (GPGPU) refers to the processing where by general computation is achieved on a specialized graphics processor. This type of processing opens up many new paths to computation in general. This paper will initially describe the intentions of this research and the evolution of graphics processing hardware that have led to the inception of general processing on graphics processing units [22].

### 1.1 Intentions of Research

The intention of this research is to gain a deeper understanding of general computation on graphics processing units in a general sense. More specifically information about performance, viability and ease of implementation are sought. Ultimately information is needed to be able to construction a test of conditions for the analysis of a problem on the outset that will assist in deciding whether it is would be beneficial to process it on a GPU rather than a CPU. With the presentation of technology trends and development in hardware 2.1 it can be seen that graphics processing hardware is only going to become more powerful. Thus it becomes important to understand on the outset how applicable this power is in solving certain tasks. These are the issues that this research seeks to find solutions to.

### **1.2** Structure of Investigation

Section 2.2 will introduce graphics processing in general as well as graphics processing concepts that are critical to understanding GPGPU. Section 3 will lead into a discussion

of the types of architecture and fundamentals which make GPGPU possible in particular the Stream Processing Model. Section 3.6 will give a brief outline of the existing GPGPU frameworks available. Chapter 4 will give details about how the investigation was undertaken in order to resolve the intentions of the research described in 1.1. Chapter 5 will give detailed information on how the implementations where carried out as well as present and discuss any results obtained. A detailed discussion of these results will be provided in chapter 6 with conclusive statements given in the final chapter.

## Chapter 2

## Introduction to Graphics Processing

Graphics processing is the underlying foundation that supports GPGPU. It is important to understand the technological developments that have taken place of the last 20 years and what impact they have had on graphics processing in general.

### 2.1 Evolution of Graphics Hardware

Computer hardware capabilities are advancing very fast and the discussion of this will be thoroughly dealt with in section 3.1. This section will detail the evolution of computer graphics hardware and what the major changes have been over the last 20 years as opposed to how these changes are occurring. NVIDIA introduced the term "GPU' in the late 1990s to replace the archaic term "VGA controller". The Video Graphics Controller released by IBM in 1987 functioned as a frame buffer. The CPU was still fully responsible for updating and accessing this frame buffer. Today the CPU rarely manipulates graphics related information as all this processing is done on the GPU. There have been four major generations of GPU evolution. Each successive evolution has built on the previous one to produce faster and more capable hardware. Each generation has also had influences on the functionality of the two major 3D programming interfaces, OpenGL [7] and DirectX [4]. OpenGL is an open source standard with cross-platform functionality on Windows, Linux and Macintosh computers. DirectX is an evolving set of Microsoft multimedia programming interfaces, including Direct3D for 3D programming on Windows based systems only [13].

#### **Pre-GPU Graphics Acceleration**

Prior to the inception of GPUs, graphics systems were developed privately by companies like Silicon Graphics (SGI) and Evan & Sutherland [13]. These systems were far too expensive for personal computer users and thus did not achieve any mass market success. Normal users were limited to using their CPUs for all types of graphics processing [13].

#### **First-Generation GPUs**

The first generation of GPUs – up to 1998 – includes NVIDIA's TNT2, ATI's Rage, and 3dfx's Voodoo 3. These GPUs could rasterize triangles and apply one or two textures. They also implemented the DirectX 6 feature set. These graphics cards relieved the CPU of updating individual pixels. However these GPUs suffered from two major limitations. Firstly, they were not able to transform the vertices and all the transformation had to be done by the CPU. Secondly they were relatively limited in the maths operations for combining textures to compute the final colour of rasterizer pixels [13].

#### Second-Generation GPUs

The second generation of GPUs (1999-2000) included NVIDIA's GeForce 256 and Geforce2, ATI's Radeon 7500, and S3's Savage3D. These GPUs can offload 3D vertex transformation and lighting onto the CPU. They are also able to perform more complicated vertex transformation. Both OpenGL and DirectX 7 support hardware vertex transformation. More complicated maths operations like cube mapping were introduced making the GPUs more configurable but still not programmable [13].

#### **Third-Generation GPUs**

The third generation of GPUs (2001) includes NVIDIA's GeForce 3 and GeForce 4 Ti, Microsoft's Xbox, and ATI's Radeon 8500. This generation offered programmability instead of just more configurability. It let the application specify the sequence of instructions for processing vertices instead of using pre-programmed transformation and lighting functionality. The pixel operations were also more configurable but not truly programmable, as the generation was transitional [13].



Fourth-Generation GPUs

The fourth generation of GPUs (2001 – 2006) included NVIDIA's GeForce FX family, ATI's Radeon 9700. These GPUs boasted fully programmable vertex as well as pixel shaders. DirectX 9 and OpenGL both exposed the programmability of these shaders [13].

#### **Fifth-Generation GPUs**

The fifth generation of GPUs are only just emerging now in 2007 and include NVIDIA's GeForce 8 family and ATI's Radeon R600. The ATI Radeon R600 is still to be released and as such details are scarce. NVIDIA's GeForce 8 includes a unified shader model where vertex and pixel shaders execute on generic shader cores instead of specialized ones [13].

### 2.2 The Graphics Pipeline

The graphics pipeline is the central core of graphics processing. It can be thought of as a sequence of stages operating in parallel in a fixed order. Each stage of the pipeline receives information from the previous stage, performs some operation on it and then passes it on to the next stage in the pipeline. The graphics pipeline in analogous to an assembly line in a factory, where each stages builds upon the previous one. It is important to have a good grasp of what each stage receives and produces as well as a detailed understanding of what operations are performed and in what order they occur.

### 2.2.1 The Fixed Function Pipeline

The fixed function pipeline was limited in what could be performed at each stage. This was not initially a problem as the performance gained from some graphics processing being offloaded from the CPU was enough to justify the process. Figure 2.1 presents a simple graphics pipeline architecture.

### 2.2.2 Stages of the Graphics Pipeline

The graphics pipeline is broken up into various stages that each perform a specific purpose.

#### Vertex Transformation

This is the first stage of the pipeline and receives a list of vertices. Vertex transformation performs various mathematical operations on each vertex. Examples of this are changing the colour associated with a vertex, changing its position or altering texture coordinate sets. Many operations are possible especially due to the programmable nature of the vertex shader which allows programmers to write their own programs that execute in the vertex transformation stage. The transformed vertices are passed on to the primitive assembly and rasterization stage [13, 14].

#### Primitive Assembly and Rasterization

This stage of the pipeline receives a list of transformed vertices and is responsible for assembling them into their composing primitives. Batches of vertices paired with their geometric batching information are assembled into primitives like triangles and quads. Some of these primitives may be clipped to the view frustum. This process is called culling. The surviving polygons are rasterized. This is the process whereby each primitive is deconstructed into the set of pixels and fragments. Pixels correspond to the contents of a frame buffer element where as fragments are the information necessary to generate an actual pixel. The resulting fragments are passed onto the fragments texturing and colouring stage [13, 14].

#### Fragment Texturing and Colouring

The fragments passed into this stage are interpolated and various mathematical operations are executed on the interpolated values. This stage of the pipeline is concerned with determining the final colour of the pixel that should be written to the frame buffer. The fragment may be discarded at this stage based on specified criteria like depth. The resulting fragments, if any, are passed to the raster operations stage [13, 14].

#### **Raster Operations**

This stage performs various operations on the fragment received to determine whether or not it should be discarded. These operations can include hidden surface removal, scissor tests, depth tests and alpha tests. The fragment may need to be combined with the current pixel in the frame buffer. This operation is called blending. This stage finally updates the frame buffer with the correct value [13, 14].

### 2.2.3 The Programmable Graphics Pipeline

With the advent of the fourth generation of GPUs discussed in sub-section 2.1, stages of the graphics pipeline have become fully programmable. These stages are the Vertex Transformations stage and the Fragment Texturing and Colouring stage as depicted in figure 2.1. Programs known as shaders can be written which are executed at these stages of the pipeline and control the operations performed. Herein lies the power of GPGPU as a previously inaccessible piece of hardware in the fixed function graphics pipeline is now programmable [9, 16, 13].

### 2.2.4 Shaders

Prior to the inception of GPUs, CPUs did all the graphics processing required by a program. GPUs are a specialized type of CPU that is capable of performing graphics specific computations much faster than a CPU can. The first of these GPUs were highly specialized and there was no way to program the graphics pipeline. As advances were made in the field of computer graphics there was more of a need to make the pipeline became more programmable. The need for the development of a language to program graphics hardware was evident and the spawned three different shader languages GLSL [6], HLSL [3] and Cg [13, 9].

### OpenGL Shading Language (GLSL)

GLSL is an acronym for OpenGL Shading Language and is also known as GLslang. GLSL is a high level shading language based on the C programming language. It was created by the OpenGL Architecture Review Board to give developers more direct control of the graphics pipeline without having to use assembly language or hardware-specific languages. GLSL has cross platform compatibility on multiple operating systems, including Macintosh, Windows and Linux. GLSL also has the ability to write shaders that can be used on any hardware vendor's graphics card that supports the OpenGL Shading Language. Each hardware vendor includes the GLSL compiler in their OpenGL driver, thus allowing each vendor to create code optimized for their particular graphics card's architecture [13, 27, 6].

#### High Level Shading Language (HLSL)

The High Level Shader Language or High Level Shading Language (HLSL) is a proprietary shading language developed by Microsoft for use with the Microsoft Direct3D API. It is in competition with GLSL shading language, but is not compatible with the OpenGL standard. It is very similar to the NVIDIA Cg shading language [9, 3].

### C for Graphics (Cg)

Cg or C for Graphics is a high-level shading language created by NVIDIA for programming vertex and pixel shaders. Cg is based on the C programming language and although they share the same syntax, some features of C were modified and new data types were added to make Cg more suitable for programming graphics processing units [13].

### 2.2.5 Different Types of Shaders

There are three different types of shaders each with a different specific purpose and form of operation.

#### 2.2.5.1 Vertex Shaders

Vertex shaders Vertex shaders operate on vertices in the stream. They can be used to change and manipulate information like texture coordinates and positions or other attributes associated with a vertex.

#### 2.2.5.2 Fragment Shaders

Fragment shaders are also called pixel shaders. They operate on pixels in the stream and can be used to change and manipulate information like colour and lighting values. They take fragments as their input fragments and then perform various operations and output the augmented fragment.

#### 2.2.5.3 Geometry Shaders

Geometry shaders are a new type of shader that allows vertices to be created and destroyed. This allows for generation of geometry in the pipeline which is something that was previously impossible with just vertex and fragment shaders. Geometry shaders were only supported in hardware with the advent of DirectX 10.

### 2.3 Summary

Graphics processing has evolved vastly over the past twenty year and these advancedments, among other features, have allowed for more programmabiliy. The fixed function graphics pipeline has been replaced by the more robust programmable graphics pipeline. This is the foundation for general computation on graphics hardware. Programming graphics hardware would not be possible unless hardware developers exposed this to programmers. The advent of shaders technology paired with the programmable pipeline allows programmers to write fragment and pixel shaders that execute on the GPU and use its resources.

## Chapter 3

# Introduction to General Computation on Graphics Processing Units

As discussed in the introduction, general-purpose computing on graphics processing units (GPGPU) refers to programming where operations are performed on the GPU rather than on the CPU. The advantage of such processing is that the GPU is able to perform operations in parallel where as a single core CPU cannot. Since the GPU is able to process in parallel, problems of a parallel or streaming nature could benefit from being processed on the GPU. This processing offload has previously not been possible because of the limited accessibility of the fixed function graphics pipeline discussed in sub-section 2.2.1. The advent of programmable graphics pipelines (sub-section 2.2.3) allows non-graphics related processing to take place on the GPU. This is done by means of the shaders. Instead of processing graphics information specific for rendering we may choose to have the information we are processing represent something different. This interpretation of data is still transparent to the GPU as it processes the data in the same way that it would graphical information [17, 15].

### 3.1 Technology Trends

This section will describe the evolution of CPU power and give insight into the way GPU processing power and functionality will tend towards in the future. Every year the power of conventional CPUs increases and advances in the underlying technologies allow for more processing power to be crammed onto the chip. Each successive generation of CPUs is faster, has more processing power and is sometimes even cheaper. A lot can be gained by considering the trends in this development. Processors are constructed from millions

of electronic switching devices called transistors and the number of these transistors on a processor is quite an accurate metric for its processing power. In 1965, Gordon Moore predicted that the power of CPUs would continually double each year. This prediction is known as Moore's Law [5]. Each new generation of CPUs increase the number of these transistors and also decreases their individual size. Smaller transistors can operate faster than larger ones as they require less current. This increase in transistor speed results in an increased clock speed, which is the speed of the global chip clock which is used to synchronize processor operation. This exponential increase in computing power looks to continue at the current pace for at least another decade.

Semiconductor computer memory also benefits from these technology advances. The ITRS predicts than Dynamic Random Access Memory (DRAM) will continue to double in capacity every three years. The metric for measuring DRAM is not the same as that of CPUs. Instead they are measured in terms of bandwidth, which is the total amount of data they can transfer each second, or latency which is the amount of time that elapses between data being requested and returned. Though both latency and bandwidth continue to increase annually they are by no means increasing at the same rate as CPU speeds [17, 10, 8, 13].

Overall the trends of both processor and memory speed and capabilities are scheduled to continue to increase in the coming years. We have seen that there are two separate metric for comparing both CPUs and DRAM. For CPUs they can be graded by this clock speed, a factor that is driven by decreasing the size of the individual transistor. CPUs can also be graded by transistor count which is the number of transistors on the actual chip, where more transistors yield more advanced processing capabilities. However with an increased number of transistors comes increased chip size. The most important consequence of these technology trends is the difference between them. When one of the metric increases faster than the other it starts to create a specialization shift. The following section will describe how this gap will help drive the GPU architecture of the future. There are three major issues to consider in this regard: computation versus communication, latency versus bandwidth, and power [17, 10, 8, 13].

### 3.1.1 Computation versus Communication

As chips' physical size increases as manufacturers put more and more transistors on them, the amount of time required for the electrical signal to travel across the chip increases. This amount of time is measured in clock cycles in current processors. Moore's Law can characterize the trend in the amount of transistors growing faster than the rate at which their size is decreasing as an increase in communication when compared to cost of computation [17, 10, 8].

### 3.1.2 Latency versus Bandwidth

The gap between memory bandwidth and latency is another factor that could drive the architectural trend in GPUs. Latency will improve more slowly than bandwidth designers should seek to implement solutions that are able to do more processing while the data is waiting to be returned [17, 10, 8].

### 3.1.3 Smaller Power Consumption

Smaller transistors require less power; however the number of transistors being placed onto chips in increasing faster than the amount at which the power per transistor is decreasing. This leads to each successive generation of processor needing more power to operate [17, 10, 8].

### 3.1.4 High-Performance Computing

Simply providing large amount of computation is not sufficient. Efficient management of communication is necessary to feed the computation resources on the chip [17, 10, 8].

Building a high performance processor requires that the computation as well as the communication are efficient. The reason why CPUs perform poorly in high performance applications is their serial programming model. The von Neumann architecture is inherently sequential, and does not expose parallelism and communication patterns in application. There is an alternative way of structuring programs that allows for very high efficiency in both computation and communication. This programming model is the basis for programming GPUs today and is known as the Stream Programming Model [10].

### 3.2 The GPGPU Programming Model

Programming for GPUs is not like programming a different type of CPU. The biggest difference is that a GPU is not a serial processor like a CPU. CPUs are based on the von Neumann architecture. Simply speaking this means that they implement a Universal Turing Machine and operate in a purely sequential way, executing instructions in a serial nature and updating program memory as they go [18].

A GPU is a stream processor and instead executes on elements of an input stream and processing the corresponding elements of the output stream. The stream programming model will be discussed in more detail in 3.3. The important difference here is that the function is invariant of the element of the input stream and is not dependent on any of the other elements. Thus the order of this function executing is not important and there are no dependencies between elements. This permits the entire input stream to be processed in parallel. Another way to think about this model of execution is that it is the application of a function to an array of data [22, 26, 10, 19, 29].

### 3.3 Stream Programming Model

In the stream processing model all data is represented as a stream. These streams can be thought of as an ordered set of data of the same type. The type of data in the stream can be very simple (a stream of integers or floating-point number) or it could be more complex (a stream of points or matrices). These streams can be of any length however efficiency is higher on longer streams with uniform data. There are a number of functions that can be executed on streams and they include; copying them, deriving sub-streams from them, indexing into them with a spate index stream and finally performing computation on them with kernels .

Kernels operate on an input stream and produce a corresponding stream of output elements. The defining characteristic of kernel is that they do not operate on individual elements. Kernels can be thought of as the evaluation of a function on each element of an input stream. This is in many ways similar to the 'map' operation of functional programming. The kernel could perform one of several operations like expansion, where more than one element is produced from a single input, reductions, where more than one input element is combined into a single output element, or filters, where only a subset of input elements are output [17, 10, 8, 13].

Computation on a single stream element does not depend on any of the other elements of the stream and as a result is purely a function of the input element. This restriction is very favorable as it means that the input stream type is completely known at the time of compilation and can be optimized as such, but even more favorable is the fact that this independence implies that the order of computation of the mapping is unimportant which ultimately means that what appears to be a serial kernel operation can actually be executed in parallel [17, 10, 8, 13].

While applications can be constructed by chaining together the inputs and output of various streams, whereby the output of one stream becomes the input of the next one, the graphics pipeline is traditionally structured as stages each depending on the result of the immediate previous stage. This makes the graphics pipeline a good match for the stream programming model as it is analogous to the stream and kernel abstraction just described [17, 10, 8, 13].

### 3.4 Mapping Computational Concepts onto GPUs

The previous sections have described the stream programming model in detail however it is still necessary to have a good understanding of what types of computations are more effectively performed on a GPU using the stream programming model and how these computations can be mapped to GPU programming. In order to attain maximum performance a highly detailed understanding of the underlying architecture is required. This is also true with traditional CPU programming. The previous sections have provided an understanding of the stream programming model as well as the graphics pipeline and shaders. They have also provided information pertaining to the types of trends that will drive development in this area in the future. This information is necessary in understanding how concepts are mapped to GPUs. The design of a GPU is very important to keep in mind when programming one. This is the same with CPUs although because of their more generic nature it is possibly not as important unless you are seeking highly specialized fast computation. We know that a GPU exploits high data parallelism and independence in the graphics pipeline in order to gain performance [23, 17].

### 3.5 GPGPU Analogies

Even knowing what resources are available on the GPU and what they can do, it can still be difficult for someone not well versed in graphics programming to understand how the GPU can be used for ordinary programming. This section will present a number of metaphors that will allow for a better grasp of the possibilities and concepts of GPGPU and how they can be mapped to the stream processing model and in turn the graphics pipeline [23, 17].

### 3.5.1 GPU Textures - Arrays

GPUs have no concept of primitive arrays. However they do support textures and vertex arrays. These are the natural choice for the representation of array based data. Any information that we would ordinarily store in an array can be stored in texture. The way that the information is stored is up to the programmer [23, 17].

### 3.5.2 GPU Fragment and Vertex Programs – Loop Bodies

The shader programs operate on each element of the input stream. They can be thought of as a loop over the stream and executing a kernel program on each element. For this reason shaders can be thought of as the loop bodies in terms of conventional CPU programming, where the loop is over the elements of the stream [23, 17].

### 3.5.3 Render to Texture – Feedback Mechanism

As mentioned earlier the render to texture mechanism can be used to pass the output of on iteration into the input of the next. For this reason it can be used as a feedback mechanism – something that is trivial to implement on a CPU because of the unified memory model of the von Neumann architecture. Render to texture can be used to write the output of a fragment program to memory and use it in the next execution of the fragment program. This concept will be describe more fully when used later on [23, 17].

### 3.5.4 Geometry Rasterization – Computation Invocation

It is all very well to have methods in place for memory, processing and feedback but there needs to be a mechanism to control the overall execution. In other words there needs to be a start condition that will initialize execution. This is achieved by some initial input stream data. This is actually very simple as it just means generating some geometry. In GPGPU processing is generally on every element of a rectangular stream representing a grid. Therefore the most common invocation is to simply render a quadrilateral [23, 17].

### 3.5.5 Texture Coordinates – Computational Domain

The range of the computation is based on the texture coordinates associated with the vertices of the primitive. The rasterizer linearly interpolates between the texture coordi-

nates specified, four in the case of a quadrilateral, in order to generate the coordinates for each fragment which are then passed to the fragment program [23, 17].

### 3.5.6 Vertex Coordinates – Computational Range

As discussed before the computational domain is generated depending on which vertices are passed into the pipeline. These vertices are the values interpolated between to generate the texture coordinate input (domain) of the fragment program. Therefore the initially generated vertices dictate the range of the resulting outputs of the fragment shader [23, 17].

### 3.6 Related Work in field of GPGPU

This section will briefly present two different frameworks for computation on the GPU.

### 3.6.1 PUG

Is a simplistic framework for GPGPU written in C++ and is effectively an abstraction of the OpenGL calls necessary to facilitate the execution of a program on the GPU. Is does not provide any abstraction for the programming of the actual vertex and fragment shaders. It implements general reductions and provides abstractions for domain and range binding as well as the render to texture mechanism outlined in sub-section 3.5.3 [10, 17].

### 3.6.2 Compute Unified Device Architecture (CUDA)

CUDA is an acronym for Compute Unified Device Architecture. The CUDA Toolkit is a complete software development solution for programming CUDA-enabled GPUs. It provides build in functionality for complex operations like Fast Fourier Transforms and various numerical algorithms. CUDA uses C to create the kernel programs that are otherwise written in some shader language. CUDA also facilitates direct implementation of parallel computations in the C language using an API designed for general-purpose computation instead of having to write transformed code in a graphics API like OpenGL or DirectX [10, 17].

### 3.6.3 Close-to-Metal (CTM)

CTM is an acronym for Close-to-Metal which is a hardware interface developed by ATI to allow programmers to interface directly with the hardware. It exposes access to the instruction set and operations of the GPU and facilitates GPGPU [1].

### 3.7 Summary

The trend in processor speeds is still exponential growth however, GPU and CPU memory trends differ. CPU memory speeds are increasing very slowly but the density is still increasing fast. Graphics card memory density is increasing but so is the bandwidth. This allows for a very width bandwidth channel for communication between the GPU and video memory. this massive bandwidth is not available on standard processing platform and is an important characteristic that can facilitate very high performance on the GPU. GPGPU is a difficult concept to understand as the problem needs to be formulated in a graphics related way. The analogies provided assist in this regard making it easier to think of a problem in terms of graphics processing capabilities. Finally NVIDIA and ATI both have framework and interfaces available for direct GPGPU on their cards. These frameworks, namely CUDA and CTM expose programmability of the graphics hardware to programmers allowing for general purpose computation.

## Chapter 4

## Methodology

On the outset, it was sought to investigate GPGPU in a general sense. In order to do this, a comparison needs to be drawn between GPU and some thoroughly understood technology. The logical choice to compare GPU processing to would be conventional processing on a CPU. For this reason in order to investigate GPGPU thoroughly a number of test programs were created to be executed on the GPU. These programs are each very specific in themselves but together cover a broad area of different problem domains. For each program developed for the GPU, a CPU control version was developed to give a comparison. This gives a solid foundation from which to launch more detailed statistical analysis of the GPU and CPU implementations and be able to draw accurate conclusions about performance and viability.

### 4.1 Performance Analysis Preliminaries

As detailed in the 3.2, a GPU is inherently a parallel processor. The analysis of parallel processing performance differs from that of sequential processing and it is important to understand the ways in which performance can not only be measured, but also compared.

### 4.1.1 Speed up

Speed up refers to how much faster one algorithm is than another one. This will be a useful metric to use in the comparison of an GPGPU algorithm and a standard CPU one. Speed up is defined by the following formula:

$$S = \frac{T_1}{T_2}$$

where:

S is the speed up factor

 $T_1$  is the execution time of the slower algorithm

 $T_2$  is the execution time of the faster algorithm

### 4.1.2 Overhead

Overhead is the term given to parts of a program that are not directly related to the core algorithm to be executed. Overhead may include code to initialize data or free memory after use.

### 4.2 Timing Considerations

In order to gain a better understanding GPGPU performance and to seek solutions to the research intentions in sub-section 1.1, a high resolution (microsecond) timer was necessary to time fragments of code in order to generate the data for statistical analysis. In timing code there are a number of factors that can either be included or excluded and consideration is required in order to set a uniform way which to time the code and thus gain the most accurate results possible. A micro-second timer was used in the code to time the execution length of the addition process. For this exact purpose the following were adhered to in timing all code.

The initialization of the matrices and timing mechanisms were not timed as these contribute to overhead (see sub-section 4.1.2) rather than the actual algorithm being executed. Where time was not the standard performance metric, for example in the data related implementations, data throughput was measured instead.

### 4.3 Testing Configuration

In timing the implementations each different implementation was run on each different sized data set 500 times. The average of these run times was then used as the time for that specific implementation and data set size. This average was computed as the standard arithmetic mean. More formally if  $x_i$  are timing results for a specific implementation and data set size, then the average time x is computed as:

Category	Details
Processor	Intel Core 2 Duo (1.86Ghz)
Memory	2048 MB DDR2 (400 Mhz)
Graphics	NVIDIA GeForce 7900 GT (256MB), Driver Version: 91.47
Mainboard	Intel Corporation Q965
Hard drive	80GB SATA
<b>Operating System</b>	Windows XP Service Pack 2

Table 4.1: Test Platform Configuration

 Table 4.2: Graphics Cards Used

Graphics Card	Shader Cores
NVIDIA Geforce 5200 FX	4
NVIDIA Geforce 6600 LE	8
NVIDIA Geforce 7900 GT	24

$$\bar{x} = \frac{1}{500} \cdot \sum_{i=1}^{500} x_i$$

All runs were executed on the machine specification detailed in table 4.1. All statistic graphs were produced using the statistical language R [28].

Where shader core performance was investigated within some implementations the following graphics cards listed in table 4.2 were used.

### 4.4 Language Selection

There is a lot of choice available for selecting APIs and language for implementing GPGPU.

### 4.4.1 Programming Language

C++ was used as the programming language for the implementations. C++ is the de facto in graphics applications and is well established and powerful enough to support the features required.

### 4.4.2 Shader Language

Cg was selected as the shading language of choice. The reasn for this is that Cg is not aligned with a specific graphics API like HLSL and GLSlang.

### 4.4.3 Graphics API

The OpenGL API was used for the graphics processing. OpenGL was chosen over DirectX for simplicity. The work done was not an excercise primarily in graphics processing and a simple API was needed for geometry generation and shader bindings but little else was required of it.

### 4.4.4 Windowing API

The Windows API was used for all windowing. The reason for this is that the GLUT library supports Windows effectively and makes the generation of windows and OpenGL graphics context simple.

### 4.5 Summary

The methodology is intended to facilitate performance analysis of GPGPU. Choices of programming language, shader language, windowing API and graphics API were all kept constant throughout to ensure accurate statistical results. The information generated from this testing methodology formed the basis of the investigation.

## Chapter 5

## Implementations

This chapter provides detailed information on the program suite implemented to performance test the GPU. The programs were selected to cover a broad range of computational tasks including, floating point processing, searching, sorting as well as data intensive operations. Each section is presented with information about how the implementation was performed on both the CPU and GPU as well as detailed results and performance analysis. Source code listings of fragments of all the GPU programs are given in Appendix A and specific references to them are given in each implementation's section. The programs were implemented with simplicity in mind, this means that no specific optimizations or early outs for special cases were accommodated for. The reason for this was the description of the research intents in section 1.1. A general investigation into GPGPU was sought and as a result canonical implementations provided the most accurate numerical data that was then used to gain better insight into performance in general. This simplification made the programs more general both from a software and a hardware point of view. Where assumptions and simplification have been made they have also been described and motivated in detail with reasons. The remainder of the chapter is dedicated to the actual implementations as just described.

Seven different problem domains were tackled namely: matrix addition, matrix multiplication, sorting, searching, AES encryption, rendering fractal images and finally cellular automata simulations. A section is dedicated to each of the implementations and gives information about the implementation, results, optimizations and performance analysis. All code is also in the accompanying CD.

Algorithm 1 Matrix Addition

```
def matrixAdd(int A[][], int B[][], int C[][])
for i := 1 to n do
  for j := 1 to n do
    C[i][j] = A[i][j] + B[i][j]
  end
  end
end
```

### 5.1 Matrix Addition

Adding matrices is a common operation in linear algebra and forms the foundation for more complex mathematical operations in linear algebra. Addition of two matrices is achieved by summing the matrices on a per element basis. For example:

$$\begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} + \begin{bmatrix} 2 & 4 \\ 6 & 8 \end{bmatrix} = \begin{bmatrix} 3 & 6 \\ 9 & 12 \end{bmatrix}$$

For this reason only matrices of the same size may be added together. This implementation will only be dealing will matrices which are both, square and have a dimension that is a power of two. The reasons for these choices is discussed further in section 5.2.2. Sequentially this operation takes  $n^2$  operations where n is the dimension of the matrix.

### 5.1.1 Implementation

The source code for the GPU implementation of matrix addition can be found in Appendix A.1.1. What follows is a brief discussion of how the solution was implemented on both the CPU and the GPU.

#### **CPU** Implementation

The CPU matrix addition program initialized two square matrices of dimension 32, 64, 128, 256, 512 and 1024 and added them together. The elements of the matrix were randomly generated integers in the range [0..255]. This was done using a simple nested loop. The matrices were represented and stored in two dimensional arrays.

#### **GPU** Implementation

The matrix addition program was implemented for the GPU using a single fragment shader. Two square textures where first created. The red channels of the texture elements were then initialized to the values of the matrices that were to be added together. These were random numbers in the range [0..255]. A fragment shader was then created which simply made a texture look up to each of the two texture units and set the red channel of the current pixel to the sum of the red channels in the two textures. In order to perform the actual addition operation there needed to be geometry on which the fragment shader could operate. This was achieved by rendering a screen sized quad with the two textures bound to it.

### 5.1.2 Difficulties Encountered

A number of issues come to the surface even from implementing such seeming simple programs on the GPU. These difficulties serve an important purpose as they add insight into the holistic investigation of general computation on graphics processing units.

#### Non-power of two matrices

Earlier it was stated without reason that the problem domain would be limited to power of two sized square matrices only. The reason for has to do with graphics processing in general. Textures are much more easily dealt with when they are powers of two, and expose various speed and space optimizations that graphics cards can take advantage of to squeeze the maximum amount of performance out of the hardware. Although this restriction has been relaxed in modern graphics processing and most hard can easily handle non-power of two texture sizes it is sometimes done through various non standardized extensions. Since it was initially stated in sub-section 5.5.1.1 that implementation were made to be be canonical and general wherever possible. It is for this reason that textures were constrained to be powers of two only.

### 5.1.3 Results

Below is table showing the execution time (in microseconds) of both the CPU and the GPU implementations; of the matrix addition test program.

The same results are presented in figure 5.1 to illustrate the performance of the programs relative to each other. It should be noted that the vertical axis is plotted on a logarithmic scale.

Size	CPU $(\mu s)$	GPU $(\mu s)$	Relative Speedup on GPU
32	$15,\!265.47$	$6,\!571.05$	2.32
64	$62,\!533.16$	6,476.21	9.66
128	228,699.98	7,867.24	29.07
256	866,427.22	6,720.12	128.93
512	3,430,212.74	6,698.01	512.12
1024	13,551,552.88	6,722.94	2,015.72

Table 5.1: Matrix Addition Execution Times

Figure 5.1: Matrix Addition Execution Times


Number of Shader Cores	Mean Execution Time $(\mu s)$	
4	7,851.54	
8	6,711.06	
24	5,225.04	

Table 5.2: Execution Time with Different Numbers of Shader Cores

### 5.1.4 Performance Analysis

The quadratic growth of the sequential matrix addition on the CPU can clearly been seen from the execution time. This is behavior that is expected as when the input size doubles, the numbers of elements in the matrix increases by a factor of four. Since the algorithm is being executed sequentially on a single core this inherently decreases the speed of the algorithm executing by a factor of four. The execution times of the GPU version exhibits very different behavior. The time to add two matrix appears totally independent of the data size and varies very little with the input size. Even large matrices were added seamlessly with speed differences of the various data size differencing by no more that a  $500^{th}$  of a second. The mean execution time of the GPU program across all input sizes was:  $\mu_{GPU} = 6,843$  where as the mean execution time of the CPU version across all input sizes is  $\mu_{CPU} = 3,025,782$ . The relative speedup of the GPU implementation to the CPU implementation is therefore:

$$S = \frac{\mu_{CPU}}{\mu_{GPU}} = 442.17$$

This shows that the GPU implementation executed approximately 442 times faster than the CPU across all input sizes or in other words exhibited a speed increase of 44, 200%.

## 5.1.5 Discussion

The GPU implementation shows impressive speedups and it is important to understand why this is the case. As discussed in section 5.5.1.1 the matrix addition is self contained within a single shader. This means that the results of the addition operation is computed in a single pass and there is not need for a feedback mechanism. Another factor to consider is that the operation of matrix addition is very parallelizable. Since the result of each cells computation is independent of all other that can be performed on separate cores. It would be logical to assume that the number of shaders cores on the graphics would have an impact on the performance.



Figure 5.2: Execution Time with Different Numbers of Shaders Cores

It can be seen from figure 5.2 that this is indeed the case and as the number of shader cores on the graphics card is increased, so the load density across the cores decreases and the therefore so does the execution time.

# 5.1.6 Optimizations

Although the GPU implementation is considerably faster than the CPU one there is room for improvement. As mentioned in 5.5.1.1 the elements of the matrix were only encoded into the red channel of the texture. This left the green, blue and alpha channels unused. A better approach to the problem, which could yield even better results, would be to use a single texture and store the two matrices in its red and green channel then compute the sum and write it to the blue channel. This would require only a single texture look up compared to two texture look ups in the previous methods. Similarly since only one texture is being used the amount of memory required would be halved. Using this same principle four matrices could be encoded in a single texture allowing for even more additions to be performed with little extra overhead.

# 5.2 Matrix Multiplication

Given the large speed up of matrix addition on the GPU that was discovered in section 5.2.4 a logical progression was to attempt a more complicated and expensive operation in linear algebra. Matrix multiplication is a very computationally intensive operation. In order to multiply two matrices together we require first that the number of columns of the first matrix is equal to the number of columns in the second. It is assumed that we will be dealing with square matrices of the same size for the same reasons as were discussed in section 5.1.2. Multiplication of two matrices results in a third matrix which has the same number of rows as the first matrix and the same number of columns as the second. In order to multiply two matrices together the elements of each row of the first matrix are pairwise multiplied with the elements of each column in the second matrix and added together in place. More formally if A is an m-by-n matrix and B is an n-by-p matrix, then their product is an m-by-p matrix denoted by AB (or sometimes A  $\cdot$  B). The product is given by

$$(AB)_{ij} = \sum_{r=1}^{n} a_{ir} b_{rj} = a_{i1} b_{1j} + a_{i2} b_{2j} + \dots + a_{in} b_{nj}.$$

For example:

1	2		2	4	21	30
3	4 _	•	6	8	45	64

A sequential implementation of three nested loops to perform the operation would have a complexity of  $O(n^3)$  where n is the dimension of the matrices being multiplied. This value was one of 32, 64, 128, 256, 512 or 1024. It can be seen that this may become infeasible to attempt to run a sequential algorithm of this order for even relatively small values of n.

# 5.2.1 Approach

The source code for both the CPU and GPU implementation is listed in Appendix A.2.1. The problem of matrix multiplication is not as easily implemented on the GPU as matrix addition and the following section details exactly how it was achieved.

### **CPU** Implementation

The CPU implementation of a matrix multiplication is simple and can achieved using three nested loops. The pseudo code to achieve this is listed in algorithm 2.

#### Algorithm 2 Matrix Multiplication

```
def matrixMultiply(int A[][], int B[][], int C[][])
for i := 1 to n do
for j := 1 to n do
for k := 1 to n do
C[i][j] = C[i][j] + A[i][k]*B[k][j];
end
end
end
end
```

#### **GPU** Implementation

The matrix multiplication program was implemented for the GPU using a single fragment shader in a similar fashion to the matrix addition. The red colour channels of two textures were used to store the values of the two matrices to be multiplied. A fragment shader was then created which represented the operation to be performed on each element of the product matrix C. The function of the shader is then to compute the final value of the resulting element in a single pass. This now introduces an interesting problem of having to read information from another element in the matrix. The solution to this problem will be discussed further in section 5.2.2. The simplest approach is to reformulate matrix addition as a series of dot product computations. An arbitrary element in the product matrix C, say  $c_{ij}$  is the dot product of the row *i* of A and the column *j* of B. The resulting values is then outputted into the red channel of the render target.

## 5.2.2 Difficulties Encountered

#### **Implementing Gather**

As mentioned earlier matrix multiplication showed the need to read from resources not bound to the fragment shader. When the fragment shader was called is had two texture coordinates bound to it, one corresponding to the matrix A and the other to matrix B. The problem is that this is not enough information to compute the total value of the current matrix element. This is a technique called gather as values need to be gathered from other cells in order to compute the dot product detailed from sub-section 5.5.1.1. This is achieved my performing texture look up on other computed texture coordinate aside from the ones that have been bound to the shader.

Size	CPU $(\mu s)$	GPU $(\mu s)$
32	$254,\!796.50$	1,506,393.00
64	1,078,855.60	1,796,663.51
128	2,667,896.60	2,096,210.25
256	$4,\!649,\!369.10$	2,964,808.15
512	9,166,531.70	3,335,495.85
1024	28,849,882.15	5,408,215.54

 Table 5.3: Matrix Multiplication Execution Times

## 5.2.3 Results

Table ?? shows the timing results of running the two different implementations of the matrix multiplication program on varying sized data sets. These same results are graphed in figure 5.3.

## 5.2.4 Performance Analysis

Regarding the CPU implementation, figure 5.3 clearly shows the cubic growth that was expected. Also looking at the corresponding values for the CPU implementation it can be seen that the problem of multiplying matrices together on a single core processor in this way becomes infeasible very quickly as it requires approximately 28 seconds to multiply two 1024x1024 matrices.

There are a number of interesting factors to notice when comparing the CPU implementation to the GPU one. Upon initial inspection of table ?? it can be seen the the CPU implementation indeed executes faster than the GPU implementation. The actual speedup of the GPU is calculated as

$$S_{32} = \frac{\mu_{CPU_{32}}}{\mu_{GPU_{32}}} = \frac{254,796.5}{1,506,393.0} = 0.17$$
$$S_{64} = \frac{\mu_{CPU_{64}}}{\mu_{GPU_{64}}} = \frac{1,078,855.6}{1,796,663} = 0.60$$

Or in other words the CPU executed 5.88 and 1.67 times faster than the GPU on the 32 and 64 sized data sets respectively. The actual speed up is much smaller than the types





Data set size

Data set size	Relative Speedup of GPU
32	0.17
64	0.60
128	1.27
256	1.57
512	2.75
1024	5.33

Table 5.4: Relative Speedup of GPU

of results seen in table 5.1. The reason for this is that the size of the data set it not big enough to expose enough parallelism for the GPU to take advantage of. Also the gather operation is not as fast as a standard loop on the CPU. The gather operations requires a number of floating point operations as well as dependent texture look ups. Sequential CPUs are extremely fast at this kind of looping operation. Looking at the relative speedup of the GPU at larger data set sizes it can be seen that the GPU convincingly outperforms the CPU once again. The relative speedup values are given in table 5.4.

As discussed earlier the reason for this is that as the size of the input set increases so the complexity of the standard sequential algorithm increases in cubic time and quickly becomes infeasible. Also the large data independence of the inner most loop allows the operation to be largely paralleled yields much faster running times as this parallelism benefits the GPU. It is interesting to consider the graph of the relative speed up of the GPU implementation seen in figure 5.4.

It must be remembered that where the CPU implementation is executing three nested loops and GPU implementation only needs to execute the inner most loop. Furthermore the executing of this innermost loop is an independent operation and can thus be performed in any or and indeed in parallel. Therefore where the CPU implementations complexity is cubic the GPU's in actually linear. The two orders of complexity differ by a factor of  $n^2$ . Thus it could be expected that the relative speed up of the GPU implementation over the CPU one increases quadratically. Figure 5.4 shows that this is indeed the case. Similarly given the parallel nature of GPU and the fact that matrix multiplication can be perform on a per element basis it could also be expected that the execution time is faster with increasing numbers of shader cores. Table 5.5 and figure 5.5 show that this is indeed the case.



Figure 5.4: Relative speedup of GPU Implementation

Table 5.5: Execution Time with Different Numbers of Shader Cores

Cores	Mean Execution Time $(\mu s)$
4	3,452,783
8	2,851,297
24	2,147,395



Figure 5.5: Execution Time with Different Numbers of Shader Cores

# 5.2.5 Discussion

This test shows that although gather is a slow operation and computationally expensive, the parallelism exposed compensates for this easily.

# 5.2.6 Optimizations

Once again there is room for optimization in the same areas as in sub-section 5.1.5 in how the actual matrix is stored in the texture.

# 5.3 Sorting

Sorting is a field of computer science that has been thoroughly researched and investigated. Canonically stated sorting is the process by which a list of randomly ordered elements is transformed into a list ordered by some criteria. There are a number of sorting algorithms that can achieve this with different speeds. Interestingly attempting

Algorithm	Complexity	Type
Bubble Sort	$O(n^2)$	Sequential
Quick Sort	$O(n \log_2(n))$	Sequential
Transition Sort	$O(log_2^2(n))$	Parallel
Bitonic Merge Sort	$O(log_2(n^2))$	Parallel

Table 5.6: Sorting Algorithms

sorting on the GPU opens up possibilities for using parallel sorting algorithms, commonly called sorting networks [21]. These are formulations of sorting algorithms that are not possible on sequential processors because of the limits of processor design.

# 5.3.1 Methodology

In order to investigate the performance of sorting on the GPU a number of sorting algorithms for both sequential and parallel processors were selected. The sorting algorithms were chosen to span a various number of complexities, and types in order to get a broad range of results. The algorithms selected for performance testings along with their Big-O complexities and whether they are sequential or parallel are detailed in table 5.6.

## 5.3.2 CPU Implementations

### **Bubble Sort**

The bubble sort is one of the simplest sorting algorithm and also one of the slowest. It operates by comparing every pair of elements and swapping them as necessary [21]. Pseudo code for the bubble sort is shown in Algorithm 3. The bubble sort was implemented programmatically in the same way.

### Quick Sort

The quick sort is significantly faster than the bubble sort, and is a more frequent choice in real life sorting applications. The quick sort employs a divide and conquer approach to divide a list in two and then sort each list recursively. The lists are divided by selecting

#### Algorithm 3 Bubble Sort

```
def bubbleSort(A)
{
for i = 1 to length(A) do
for j = i+1 to length(A) do
if(A[j] > A[i])
swap(A[i], A[j])
endif
end
end
}
```

Algorithm 4 Quick Sort Pseudo code

```
def quickSort(A)
ł
var less, equal, greater
if length(A) <= 1 return array
select a pivot value pivot from A
for i := 1 to length(A)
x = A[i];
if
x <= pivot then add x to less
endif
if
x > pivot then add x to greater
endif
return concatenate(quicksort(less), quicksort(greater))
endfor
}
```

a pivot element within the list and moving all elements that are less than the pivot into the first sub-list and all elements that are greater than the pivot to the second list (equal elements can fall into either list). These two sub lists are then sorted in the same way. Pseudo code for the quick sort is shown in Algorithm 4. The implementation was done in the same way that the pseudo code shows.

### 5.3.3 GPU Implementations

As discussed in section 5.3 parallel sorting algorithms are different to sequential sorting algorithms as they are designed to be distributed across more than one processor. The following section with detail how the odd even transition and the bitonic merge sorts operate as well as how they were implemented on the GPU.

#### Algorithm 5 Odd Even Transition Sort

```
def transitionSort()
{
  repeat n times
  do in parallel
  if(element[n] > element[n+1])
  swap(element[n], element[n+1)
  endif
  end parallel
  end
}
```

#### Odd Even Transition Sort

The odd even transition sort is based on the operation of the bubble sort described in 5.3.2 [20]. The operation of the sort considers every element to the left of itself and compares and swaps them as necessary. As this is a parallel sorting algorithm there is no explicit loop. In fact the algorithm is best thought of as a network where the nodes in the network perform the compare-swap operation on the elements in question and data moves with in the network until it is sorted. The parallel pseudo code for the odd even transition sort is presented in Algorithm 5.

The odd even transition sort was implemented in a single fragment shader using the render to texture feedback loop mechanism describe in sub-section 5.3.5. The compare and exchange operations were performed within a fragment shader. This shader's execution represented one pass of the algorithm. The actual data values were encoded into the red colour channel of a texture with the same dimensions as the data set size. In order to invoke the shader to execute on the data a screen sized quad was rendered to the screen. The resulting image in the frame buffer was then read back in the texture and re-rendered invoking another pass of the algorithm. This procedure was repeated n times resulting in the data being fully sorted. The images in figure 5.6 shows the data being sorted at various stages during the execution of the algorithm. It should be notes that the data, although depicted in a two dimensional sense is actually representative of a linear sequence.



Figure 5.6: Odd Even Transition Sort

#### **Bitonic Merge Sort**

A bitonic merge sort is another sorting network. It operates on the principal of bitonic sequences.

A bitonic sequence is composed of two sub-sequences, one monotonically non-decreasing and the other monotonically non-increasing. Bitonic sequences have two properties that are of importance in a bitonic merge sort. The first is that a bitonic sequence can be divided in half and produce two sequences such that both are bitonic. The second is that either every element in the first sequence is less than or equal to every element in the second sequence or every element in the first sequence is greater than or equal to every element in the second sequence. A sorted sequence is a bitonic sequence where one of the comprising sequences is empty. In order to perform this division, elements in corresponding positions in each sequence are compared and exchanged as necessary. This operation is sometimes called a bitonic merge [24, 21]. In order to perform a full bitonic merge sort the initial sequence is assumed to have length a power of two. This ensures than it can be continually divided in half. The first half of the sequence is sorted into ascending order while the second half is sorted into descending order. This operation results in a bitonic sequence. A bitonic merge is performed on this sequence to yield two bitonic sequences each of which is sorted recursively until all the elements in the sequence are sorted. The pseudo code in Algorithm 6 presents the recursive algorithm for a bitonic merge sort.

The implementation of the bitonic merge sort was significantly more complex than the odd even transition sort. The shaders represented the bitonic merge operation while the sort merge function was performed implicitly by passing a uniform parameter to the shader

Algorithm 6 Bitonic Merge Sort

```
def bitonicMergeSort(int [] A, int n)
{
    perform_bitonic_merge ()
    sort_bitonic(A,n/2)
    sort_bitonic(A+n/2,n/2)
}
```



Figure 5.7: Bitonic Merge Sort

indicating the current recursive depth. As with the odd even transition sort described in sub-section 5.3.3 the data values of the list were encoded into the red channel of a texture and the same render to text mechanism was used to perform the  $log_2n$  iterations necessary to sort the data fully. The images in figure 5.11 show the data being sorted at various stages during the execution of the algorithm. Once again it should be notes that the data is actually a one dimensional sequence, not two dimensional.

# 5.3.4 Difficulties Encountered

There were a number of difficulties encountered in implementing sorting on the GPU. This sections details various salient difficulties that presented themselves during the implementation, some of which were referenced to earlier. It also provides caveats and ways in which the problems could be solved and circumvented.

# 5.3.5 Render to texture

Sorting is a multiphase operation and can only be accomplished through a number of iterations. Therefore there is a need for a feedback loop where data that has been operated



on is passed back to the beginning of the sorting operations and used in the next iteration. This need extends beyond sorting and applying to graphics processing in a general sense. The simplest approach to creating this feedback loop is rendering to a texture. This is achieved by rendering normally to the the off screen frame buffer, but then instead of swapping the buffers to display the rendered data result, the contents of the off screen frame buffer are read out, and copied directly back into the source texture and the buffer cleared. This means that the operation of all the shaders takes place on the texture data, and the resulting data is stored in the texture again ready for another iteration. Which can be initiated by rendering more geometry. Figure 5.8 illustrates this process.

# 5.3.6 Coordinate Wrapping

This is the same problem that manefested itself in the searching algorithms in sub-section 5.4.2 where the entire grid need to be considered as a single list.

## 5.3.7 Uniform Parameters

Sorting requires information to be passed into the kernel programs. In the bitonic merge sort, the step size and current recursive depth needed to be known within the execution environment of the fragment shader in performing the bitonic merge operation. These parameters are bound to the kernel program from the housing C++ program and they appear and are accessible as standard parameters in the shader.

### 5.3.8 Results

Table 5.7 presents the mean execution times of the four different sorting operations across the different data set sizes.

Size	Bubble	Quick	Transition	Bitonic Merge
32	1,245.34	119.29	7824.04	2418.93
64	$94,\!456.92$	508.88	10544.65	2886.15
128	$1,\!656,\!493.37$	2179.00	11345.21	2998.27
256	$21,\!266,\!453.67$	9419.59	15452.44	3156.21
512	$2,\!988,\!593,\!594.34$	40954.87	18546.23	5360.47
1024	48,651,723,523.47	178791.31	23681.59	6022.12

Table 5.7: Mean Execution Times of Sorting Algorithms  $(\mu s)$ 

Figure 5.9: Sorting Algorithm Execution Times



These execution times are presented visually in figure 5.9. It should be noted that the times are presented on a log scale.

## 5.3.9 Performance Analysis

The execution times depicted in figure 5.9 conform accurately to the predicted complexities in table 5.6. There are several salient points to notice in comparing sorting on the GPU and sorting on the CPU. The CPU sorts out perform the GPU's for the smaller test cases in general. The reason for this is the structure of the feedback loop. As discussed in sub-section 5.3.5 the feedback loop is constructed by copying the contents of the frame

Size	Relative Speedup Factor		
	Transition Sort	Bitonic Merge Sort	
32	0.02	0.05	
64	0.05	0.18	
128	0.18	0.75	
256	0.61	2.98	
512	2.21	7.64	
1024	7.55	29.69	

 Table 5.8: Relative Speedup of GPU Sorting Algorithms to Quick Sort

buffer back into the texture. This an expensive operation and the GPU implementations pay the price on the smaller data set. However as the data set size increases the parallel nature of the GPU based algorithms offset the cost of the render to texture operation and outperform the CPU sorts. This is illustrated in table 5.8 where the speedup of the transition and merge sorts in computed against the faster of the CPU sorts, the quick sort. The bubble sort is excluded from this comparison as is it infeasible for large data sets and generally only considered in sorting because of its simplicity and not it's efficiency. The actual speedups of the odd even transition sort and bitonic merge sorts were computed as:

$$S_{TransitionSort} = \frac{\mu_{QuicSort}}{\mu_{TransitionSort}}$$
$$S_{MergeSort} = \frac{\mu_{QuicSort}}{\mu_{MergeSort}}$$

Considering sorting performance as a whole. The average execution time for the CPU quick sort and GPU bitonic merge sorts across all data set sizes are  $\mu_{QuickSort} = 38,662.16$  and  $\mu_{MergeSort} = 3,788.34$  respectively. These values can be used to compute an average relative speedup of GPU sorting to CPU sorting as:

$$S = \frac{\mu_{QuickSort}}{\mu_{MergeSort}} = 10.23$$

This shows than on average the GPU performed the sorting operation 10.23 times faster than the CPU or in other words exhibited a speed up of 1,023%. It is also interesting to investigate the performance of the two GPU sorting algorithms independently of the CPU ones. The transition sort has a complexity of  $O(log^2n)$  where are the bitonic merge sort has a complexity of  $O(log(n^2))$ . The graphs of these two complexities is shown in figure 5.10 and figure 5.11. It can be seen that these two sorting algorithms both have the same asymptotic behavior.



Figure 5.10: Transition Sort Asymptotic Complexity

Figure 5.11: Bitonic Merge Sort Asymptotic Complexity



Cores	Mean Execution Time $(\mu s)$
4	28644.76
8	10863.39
24	3788.34

Table 5.9: Bitonic Merge Sort with Varying Numbers of Shader Cores

Figure 5.12: Mean Execution Time with Different Numbers of Cores



Since the GPU is a parallel processor and the algorithms being analyzed are parallel in nature it is important to consider the effect that the number of shaders cores has on the performance. It is expected that where a GPU has more shader cores the performance of the sorting algorithm distributed better and executes faster. Table 5.7 shows that this is indeed the case as with a higher number of shaders cores the performance increases, this information is presented graphically in figure 5.13.

## 5.3.10 Optimizations

As stated in section 5.3.1 the implementations where constructed to be canonical and general in nature. With no specific optimizations made. This section will detail what

types of optimization could be made to the GPU based sorts to increase performance even further.

#### 5.3.10.1 Feedback Mechanism

As mentioned in 5.3.5 the feedback loop structure introduces a large performance bottleneck as moving data back from the CPU to texture memory is slow. A number of mechanisms have been developed to remove this bottleneck. A possibly optimal way of rendering directly into a texture without the need to copy data between memory locations. This method is not standardized in OpenGL 1.3 however ARB extensions makes it possible [30].

#### 5.3.10.2 Data Encoding

The implementation section detailed that the data to be sorted was encoded in the red channel of the texture. This leaves the blue, green and alpha channels of the texture totally unused. If data was encoded in these channels as well, the GPU sorting algorithms could sort four times more data concurrently with little extra computation incurred.

# 5.4 Searching

Searching is a well understood area of computer science and involves determining whether a certain element is contained within a list. There are a number of different searching algorithms with different speeds. The binary search is one of the the fastest standard searching algorithms and also the most common in practice if the data being searched is sorted. Conversely the linear search is very simple to implement but is slower than the binary search. The binary and linear searches are the two searching algorithms that will be investigated in this section. Table 5.10 shows the complexity of these two searching algorithms to be implemented directly instead of emulating sequential ones on the GPU like in the searching section.

Algorithm	Complexity
Linear Search	O(n)
Binary Search	$O(\log n)$

Table 5.10: Searching Algorithms

Algorithm 7 Linear Search Pseudo Code

```
def linearSearch(int [] A, int n, int e)
{
    int p = -1;
    for i := 1 to length(A)
    if(A[i] == e)
    p = i
    return p;
}
```

# 5.4.1 Approach

In order to analyze the performance of searching using GPGPU both the linear and binary searches were implemented on the CPU and the GPU. The following section explains the implementations.

#### **CPU** Implementation

A linear search is achieved by iterating through the list and comparing each element to one being searched for. The list was initialized with random data in the range [0..255]. Pseudo code to achieve this is shown in algorithm 7.

The binary search algorithm operates by dividing the search space in half each time to isolate the element being searched for. For this reason the binary search operates on a list of already sorted elements. Pseudo code is shown in algorithm 8.

#### **GPU** Implementation

The linear search was implemented in exactly the same way as for the CPU except that it was contained within a shader. The code listing for the shader is presented in Appendix A.3.1.

Algorithm 8 Binary Search Pseudo Code

```
def binarySearch(int [] A, int e, int low, int high)
{
while(low < high)
 int mid = low + (high - low) / 2;
 if(A[mid] > e)
 {
high = mid
 }
 if(A[mid] < e)
 {
 low = mid
 }
 if(A[mid] == e)
 return mid;
 }
 return -1;
}
```

The binary search was implemented in a similar way to the CPU implemented except that once again it was housed entirely in a fragment shader. The source code for the binary search is presented in Appendix A.3.2.

For both searching algorithms the elements representing the list were random numbers in the range [0.255] encoded in the red channel of the texture in non-decreasing order. In order to invoke the execution of the binary search a 1-by-1 simple quad was rendered. The reason for rendering a 1-by-1 quad was that the fragment shader should only be called once for the search. Since it is called for every fragment it needs to be ensured that there is just a single fragment. A 1-by-1 quad ensures that there is only one call to the fragment shader to perform the search. Ultimately the number of pixels drawn determines the number of searches executed [20].

# 5.4.2 Difficulties Encountered

#### **Implementing Gather**

Once again the problem appeared of reading data from other texture coordinates other than the ones bound. The solution to this problem was to implement gather. This was discussed in sub-section 5.2.2.

Size	Linear Search CPU	Binary Search CPU	Binary Search GPU	Linear Search GPU
32	6.34	53.13	349.05	65.58
64	16.18	59.26	351.03	172.70
128	68.18	66.92	373.34	841.70
256	260.15	93.81	383.69	3471.77
512	1036.37	160.11	521.59	11061.36
1024	4184.22	224.51	625.96	32043.02

Table 5.11: Mean Search Execution Times

#### Single List

Although the data is single list of elements it was stored in a two dimensional representation in a texture. Thus care needed to be taken when addressing other elements as they could lie on a different row of the texture. The problem was circumvented by implementing boundary checks on all non-local comparisons.

### 5.4.3 Results

The run times for the various searches on the different data sets is shown in table 5.11.

These results are also graphed in figure 5.13.

# 5.4.4 Performance Analysis

It can be seen that the GPU searches, although comparable to the CPU searches is not faster. The reason for this is the fact that is is only being executed once in one instance of a fragment shader. As mentioned in sub-section 5.5.1.1 in order to invoke one search one fragment shaders needs to be executed which in turn requires a single pixel. This means that the benefits of the parallel processing architecture are wasted and there is no potential speedup available as the clock speed of a CPU a lot higher than that of a GPU. From this it is expected that the performance of the search stay relatively constant with differing numbers of shader cores. Table shows that this is indeed the case and the mean execution time varies too a smaller degree than seen in previous implementations like sections 5.1 and 5.2. Also the variance that does occur is mostly attributed to the speed of the cores rather than the number.



Figure 5.13: Mean Execution Time of Searching Algorithms

Table 5.12: Relative Speedup of CPU to GPU Binary Searches

Size	CPU Binary Search	GPU Binary Search	Relative Speedup
32	53.13078	349.049	0.15
64	59.25634	351.030	0.17
128	66.92092	373.343	0.18
256	93.80865	383.698	0.24
512	160.10641	521.592	0.31
1024	224.51239	625.962	0.36

Core	Mean Execution Time
4	4689.49
8	4604.14
24	3872.71

 Table 5.13:
 Mean Performance on Shader Cores

Table 5.12 gives the relative speedup of the CPU to GPU implementations for increasing data set sizes, computed as:

$$S = \frac{\mu_{CPU}}{\mu_{GPU}}$$

It can be seen from these results that the CPU outperforms the GPU by increasingly larger margins as the data set increases. Once again the reason for this can be attributed to the fact that the GPU cannot utilize its parallel architecture and thus is on an architectural par with the CPU and, because of the CPU's optimization for elementary sequential processing constructs, like loops, can perform the calculations much faster than the GPU can.

The weak performance of the GPU searches lie in the fact that it is the algorithms are atomic in the sense that they are not being paralleled and distributed across more than one processor. For this reason the execution of the algorithm is contained entirely within a single shaders and executes in one go as on a sequential processing architecture. Although the GPU performs quite comparably to the CPU it is still slower for this reason.

# 5.4.5 Optimizations

This implementation has room for extension to perform parallel searches. Since rendering a single pixel equates to performing a search, a number of pixels could instead be rendered and although this would not increase the speed of the seaches it would allow a number of searches to be executed in parallel.

Type	Key Length (words)	Block Size (word)	Number of Rounds
AES-128	4	4	10
AES-192	6	4	12
AES-256	8	4	14

Table 5.14: Key-Block-Round Combinations

Algorithm 9 AES Encryption Pseudo Code

```
KeyExpansion
Initial Round
AddRoundKey
for(i = 1 to Rounds-1)
{
SubBytes
ShiftRows
MixColumns
AddRoundKey
}
SubBytes
ShiftRows
AddRoundKey
```

# 5.5 AES Encryption

Advanced Encryption Standard (AES) [11] is a symmetric key cryptographic algorithm also known as Rijndael. The AES algorithm is a symmetric block cipher that can encrypt (encipher) and decrypt (decipher) information. Encryption converts data to an unintelligible form called cipher text; decrypting the cipher text converts the data back into its original form, called plain text [2]. Most of AES calculations are done in a special mathematical finite field [12]. AES takes as input the block of plain text to encrypt along with a specified key. The encryption algorithm takes the form of a variable number of rounds, each comprised of a number of operates that takes place in sequence to encrypt the data. Decryption is performed in a similar way. AES can operate in a number of forms, varying the data block size, key size and number of rounds. Table 5.14 shows the different types of AES [2]. AES-128 was used because it is the most canonical form of the algorithm as well as the fact that it is simplified by the restriction of a power of 2 block size.

### 5.5.1 Approach

The basis for the AES encryption algorithm is rooted deeply in abstract algebra . This section will presents a high level over view of the algorithm 9 then deal with each sub-operation in turn. For each of the operations following the explanation will be a description of how the corresponding operations were achieved on the GPU.

#### 5.5.1.1 Encryption Operations

#### Key Expansion

The first routine in the AES encryption process is to expand the key to a length where there is a key for each round of the algorithm. This process is detailed in [25, 2, 11] and since this operation was performed on the CPU it will not be discussed here.

#### Sub Bytes

The SubBytes step of the algorithm replaces each byte in the current state with a corresponding byte using an 8-bit substitution box (S-box) [2, 11]. The Sbox represents a non-linear transformation that is constructed by two transformations. The first is taking the multiplicative inverse of the element in the finite field  $GF(2^8)$  then applying the following transformation over the field GF(2) presented in matrix form [2, 11].

$$\begin{bmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \\ b_8 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \\ b_8 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

#### **GPU** Implementation

The actual transformations to generate the Sbox do not need to be computed at all by the GPU. As the values are constant for a given initial b vector. Thus the resulting look up values for all  $2^8$  initial b vectors can be computed and stored in a 16x16 texture texture. Then the SubBytes operation reduces to a single texture look up. Fragment shader code for the SubBytes operation is shown in Appendix A.5.1.

#### ShiftRows

The shift rows operation cycles the bytes in each row a variable amount. The first row is not shifted, then second row is shifted cyclically left one position, the third row two and finally the forth row three positions.

#### **GPU** Implementation

The shift operation is simple to perform on the GPU. It can be achieved by offsetting the current fragment shaders texture coordinates based it row (y-position) and performing a single texture look up on it's own texture. Code for achieving this if given in Appendix A.5.2.

### MixColumns

The MixColumns operation operates on each of the four columns of the state. Each column of the state is representative of a four-term polynomial over  $GF(2^8)$ , this polynomial is multiplied modulo  $x^4 + 1$  with the fixed polynomial a(x), given by:

$$a(x) = \{03\}x^3 + \{01\}x^2 + \{01\}x^1 + \{02\}$$

#### **GPU** Implementation

Unfortunately this operate cannot be performed using a look up table as in 5.5.1.1. As the state is large so the actual multiplication needs to be performed. The multiplication is simple to perform and uses a number of the bitwise logical operations like AND, OR, and XOR. The problem is that shader languages like Cg do not have support for logical operations [13]. Although reservation has been made for the corresponding symbols &, | and  $\hat{13}$ ], they have not been implemented yet. This introduced a large problem as to how a seemingly simple bitwise operations like AND, OR and XOR could be performed. Section 5.5.2 deals thoroughly with how this problem was solved and once implementation the multiplication can be performed as is would be on a sequential processor, the fragment shader code for the MixColumns operation is given in Appendix A.5.3.

#### AddRoundKey

The AddRoundKey operation simply XORs the current RoundKey with the state.

#### **GPU** Implementation

As seen in sub-section what should be a trivial operation turns out to be quite complex without the functionality of logical bitwise operators in the shader language. Sub-section 5.5.2 shows how the XOR operation was implemented. Once achieved the AddRoundKey operation can be performed by XORing elements of the state with the corresponding elements of the current key. Appendix A.5.4 gives the code for the AddRoundKey operation.

With each of the operations of AES implemented, the whole encryption process can be achieved by encoding the initial state and expanded round key (see sub-section 5.5.1.1) into textures. A 4-by-4 pixel quad was then rendered to the screen with the initial SubBytes fragment shader bound. This produced the output for the first stage of the AES encryption. The content of the frame buffer were then copied back into the texture using the render to texture feedback mechanism that was described in sub-section 5.3.5 after which the ShiftRows fragment shader was loaded and another 4-by-4 pixel quad rendered. This process was repeated for the MixColumns and AddRoundKey operations to yield an iteration of the AES encryption. Since ten iterations were required the whole process is preformed 10 times giving the encrypted state. Care was taken to treat the final iteration correctly, since the AddRoundKey operation does not take place here.

### 5.5.2 Difficulties Encountered

#### Implementing Bitwise Operations on the GPU

As mentioned in sub-sections 5.5.1.1 and 5.5.1.1 the Cg language does not currently implement bitwise operators. This makes a seemingly trivial task like a logical XOR impossible to perform without some other mechanism in place. In order to provide this functionality, which was needed to perform the AES Encryption, a look up table of values was precomputed and stored in a texture. The texture was 256 x 256 and its red, green and glue colour channels corresponded to the XOR, OR and AND operations. These are all binary operators and the x and y indices into the texture correspond to the operands and the values stored in each channel to the resulting binary operations value. In order to use this mechanism to perform logical binary operations the texture was bound to the fragment shaders that required this functionality. Then when an operation needed to be performed the two operands were scaled to the texture. The resulting colour channel could then be read to give the XOR, OR or AND or the operands respectively. Figures 5.14, 5.16 and 5.15 depict visually the red, green and blue channels respectively. The



Figure 5.14: XOR Look up Field

 Table 5.15: AES Encryption Single Block

Type	Average Number of Encryptions per Second
CPU	3125.76
GPU	626.24

limitation of this implementation is the range of values of the operands. Since a 256 x 256 textures was used only values within this ranges could be computed. Since AES operates in this ranges of value, these constraints are not a problem.

# 5.5.3 Results

Tables 5.15 and 5.16 show the average number of complete AES encryptions that could be performed on both the CPU and GPU when encrypting one and many blocks per cycle respectively.

Type	Average Number of Encryptions per Second
CPU	7254.25
GPU	25449.65

Table 5.16: AES Encryption Multi Block



Figure 5.15: AND Look up Field



Figure 5.16: OR Look up Field

### 5.5.4 Performance Analysis

The results in table 5.15 show that the CPU out-performs the GPU by a factor of 4.99 on the single block encryption test. This value was computed as:

$$S = \frac{\mu_{CPU}}{\mu_{CPU}} = \frac{3125.76}{626.24} = 4.99$$

This result is not surprising as no parallelism is being taken advantage of in the GPU implementation and since the CPU is optimized for this type of sequential processing, it is hard to beat.

Rendering 16 pixels each render cycle is a waste of graphics processing power. It was detailed in section 3.3 that the stream processing models is effective with contiguous streams of similar data. By increasing the size of the view port to a larger size and rendering more than one state in a render cycle parallelism is exposed that yield the encryption rates shown in table 5.16.

Figure 5.17 shows the results of the CPU and GPU implementations of AES Encryption with increasing number of states being encrypted per cycle. The red plot is the GPU implementation where as the blue plot is the CPU one. There is a lot of interesting information contained in this result. Firstly it can be seen that the GPU AES Encryption implementation out-performs the CPU implantation by a large margin. The reason for this is the fact the where as in the single block test only a single 16 byte state was encrypted per cycle. Now using a view port of 256 x 256 the 4 x 4 states are tilled across it and processed in parallel. This allowed for 4096 times more data to be encrypted per cycle. The CPU encryption rate plot showed expected results. Since it is a sequential processor, it cannot be expected to gain large speedup from processor more data per cycle. As a result processing more data per cycle results in a slower cycle time which balances out, yielding almost constant encryption rates across block size. Table 5.17 shows the mean encryption rate using all block sizes. This result clearly shows the effect of concurrently processing blocks, and when compared to table 5.15 it can be seen that where the CPU once outperformed the GPU by a factor of 4.99, the GPU now outperforms the CPU by 2.87 times. Considering the fastest results of both the CPU and GPU implementations in table 5.18 the GPU performs even better now outperforming the CPU by 5.17 times. Figure 5.17 seems to imply that more block with yield even higher encryption rates. Unfortunately there is a limit to the size of the renderable surface while maintaining a 1 to 1 aspect ratio. This is a common platform limitation of screen resolution in operating systems. This problem can be circumvented in a number of ways by these methods are not general.



Figure 5.17: AES Multi-Block Encryption Rate

Table 5.17: Mean Encryption Rate

Type	Mean Encryption Rate $(Mb/s)$
CPU	1.553
GPU	4.457

Type	Encryption Rate $(Mb/s)$
CPU	2.32
GPU	12.00

Table 5.18: Maximum Encryption Rate

By packing more that one state to be encrypted into one single rendering cycle the GPU is able to take advantage of the per-routine parallelism and gain large performance increases over the CPU.

# 5.6 Rendering Fractal Images

A fractal is a self referential image. Fractals exist in a number of forms. This implementation is concerned with the generation of fractal images in regions of the complex plane. More specifically the Mandelbrot fractal of the Julia fractal set. Rendering a fractal image involves determining, within a region of the complex plane, which set of point in that region are contained in the fractal set and which are not. Unfortunately to determine this accurately requires infinite sequence arithmetic and for this reason approximations are usually used. Mathematically the Mandelbrot set is defined as the set of all points whose magnitude is bounded by |2| under the recurrence relation:

$$Z_n = Z_{n-1}^2 + C$$

where  $Z_0 = 0$ , and all numbers are complex.

## 5.6.1 Approach

In order to investigate GPGPU's applications to fractal rendering the processing of the recurrence relation was offloaded into a fragment shader instead of being done on the CPU. A screen sized quad was then rendered which resulted in the fragments of the quad that were contained in the mandelbrot set being coloured, while the remainer were left blank. The code for achieving this is given in Appendix A.7.1. This same code was implemented for the CPU in order to make performance comparisons.

Testing was conducted and a 512-by-512 area of the complex plane, with the magnitude of the recurrence relation tested for escape over 2048 iterations.

Table 5.19: Fractal Rendering SpeedsTypeAverage Frames Per SecondCPU2.12GPU7.83

Table 5.20: Mandelbrot Fractal Computation Rate (points/s)

	-
Type	Rate
CPU	555,745.28
GPU	2,052,587.52

# 5.6.2 Difficulties Encountered

#### Numerical Precision

As the view is zoomed in the area of the complex plane being displayed becomes smaller and smaller. In order to accurately render the complex plane at higher magnifications small point intervals need to be used. This becomes inaccurate at very high magnification and precision is lost yielding pixelated images. This problem could be overcome with the use of a high precision floating point division strategy. However Cg currently does not have support for this.

### 5.6.3 Results

Table 5.19 shows the average number of complete renders per second on the GPU and CPU implementations. Table 5.20 gives the average number of points in the complex plane processed per second. The image set in figure 5.18 set shows a collection of various region of the Mandelbrot fractal.

## 5.6.4 Performance Analysis

Computing the relative speedup of the GPU implementation as

$$S = \frac{\mu_{CPU}}{\mu_{GPU}} = \frac{7.83}{2.12} = 3.69$$

This shows that the GPU outperforms the CPU implementation by a factor of 3.69, or in other words executes 369.00% faster. This speed is not surprising after previous results



Figure 5.18: Mandelbrot Fractal Images

Table 5.21: John Conway Rule Set

Condition	Consequence	
Occupied cell has 0, 1, 4, 5, 6, 7, or 8 neighbors	Organism dies.	
Occupied cell has 2 or 3 neighbors	Organism survives to the next generation.	
Unoccupied cell has 3 neighbors	The cell becomes occupied	

that have been seen. Since the contents of the fragment shader operate only on a single point in the complex plane and base computation solely on the point in question the fractal generation processing parallelized well yielding speed ups.

# 5.7 Cellular Automata Simulation on a Grid

Grid simulations involve iterative processing on an array of elements under some rule set to generate a successive population on the grid. This process is continued to create a simulation. This implementation simulates John Conway's game of life. Where by the grid population is initialized randomly. Thereafter at each iteration the rule set in table 5.21 is applied.
Type	Frames per Second
CPU	42.85
GPU	158.45

Table 5.22: Cellular Automata Execution Time

## 5.7.1 Approach

The rule set was coded into a shader. A screen sized quad was then rendered for each iteration of the simulation and the fragment shader was used to process the rule set. the resulting image was then copied back into the initial texture using the Render to texture feedback mechanism from sub-section 5.3.5. The process continued as long as the simulation was needed to run. Whether a cell was dead or alive was encoded into the red colour channel where an intensity of 1.0 indicated an alive cell and 0.0 a dead one. A 512x512 texture was used creating a simulation grid of the same size.

### 5.7.2 Difficulties Encountered

#### 5.7.2.1 Boundary Conditions

The only difficulty encountered was with activity at the boundary of the gird. There were two approaches to solving this. The first is to wrap the texture coordinates meaning that neighbors of cell on the boundary of the simulation grid were on the opposite side of the grid. A second approach was to use the boundary pixel of the grid as a null computation zone where no processing takes place at all. The latter approach was used in this implementation.

### 5.7.3 Results

Table 5.22 shows the number of frames per second rendered on the CPU and GPU implementations of the cellular automata program.

The image set in figure 5.19 shows the grid simulation in progress.



Figure 5.19: Cellular Automata Simulation

### 5.7.4 Performance Analysis

Computing the relative speedup of the GPU implementation as

$$S = \frac{\mu_{GPU}}{\mu_{CPU}} = \frac{158.45}{42.85} = 3.70$$

This shows a performance increase of 370%. Also it is a very similar performance ratio to that seen in the Fractal rendering implementation in section 5.6. This is not surprising as both problem domain are similar in many regards. The reason for this is because it involves the rendering of grid with its composite cells either being displayed or not based on some function. In the case of the Mandelbrot fractal the function was whether the recurrence relation at the point was bounded. In the case of cellular automata it was based on the number of neighbours the cell had and its current state. Effectively the programs reduce to the constant mapping of a function to a list - something that GPUs perform very well because of the consistency of the operation.

## 5.8 Summary

Given the results from the implementations it can be seen that there is a board spectrum of speed ups that can be achieved from processing on the GPU. Some specific problems exhibit massive speed ups even for small data set sizes like the matrix operations in sections 5.1 and 5.2. This is due to the parallel nature of the problem and it formulation on the GPU as independent subroutines that when all performed result in the completed action. This type of formulation lends itself to a GPU implementation because of this parallel nature.

Some problems exhibit good performance speed ups but more importantly good asymtotic performance. This was shown in section 5.3 where CPU implementations outperformed the GPU for smaller data sets. However as the data set size increased so did the execution time for the GPU implementation where as the growth of the GPU implementation was much better. This makes GPU a prefereable option for processing depending on the input size.

Some problems performed worse on the whole like the searching algorithms in section 5.4. The reason for this was once again the nature of the problem. An atomic problem like a binary search cannot be distributed across multiple processors as easily and effectively as the parallel sorting networks in section 5.3. This ultimately lead to a solution where the GPU was performing the operations for the searches in exactly the same way as the CPU was. This is an example of an implementation where not exposing some kind of data parallelism caused slower execution time.

Excellent performance was gained from the AES implementation in section 5.5. This was not the case initially however as the initial implementation was outperformed by the CPU. Only once the parallelism of the states was identified and taken advantage of did the implementation start to outperform the CPU. This once again illustrates the importance of some type of concurrency or parallelism that can be the difference between a poor and effective GPU implementation.

Finally the graphical simulation in sections 5.6 and 5.7, although more an excercise in offloading processing from the CPU to the GPU, again showed how performance could be gain from taking advantage of GPU resources.

On the whole a lot of information can be gleamed from the implementations and it is open to analysis on a number of depths. The next chapter will use the information that has been presented here in drawning to a conclusion the answers to the research intentions put forward in section 1.1.

# Chapter 6

# **Discussion and Analysis**

This section will drawn on the information gained in the discussion sections of chapter 5. Where these sections have dealt independently with the current implementation, this section will cross correlate results in order to gain a deeper understanding of general computation on graphics processing units. More specifically a plethora of results and caveats of GPGPU have been brought to the surface and this information can now be used in answering the questions posed in the intentions of research section 1.1. Furthermore this section will be concerned with using the information gained to design a model for deciding plausibility of a GPGPU implementation on the outset of the problem, instead of having to go through the trouble of implementation before seeing if the results are better than processing on a CPU.

### Viability

GPGPU certainly is a viable option for processing. Technology trends indicate that graphics processing power will increase steadily and rapidly in the future. GPGPU will obviously benefit from these advancements

#### Difficulty

GPGPU is not as simple as constructing a program to execute on the CPU. Instead it requires experience in a number of languages and APIs. Though there is a lot of choice in each, it is still required that the programmer have a good grasp of a high level programming language, graphics API, windowing API and shader language. This adds to the complexities of the programming as care must be taken to implement things correctly and accurately across all areas.

#### Performance

Performance on the GPU varies with the problems being tackled. Some problems exhibit phenomenal speed ups like the matrix operations where the GPU outperforms and CPU by hundreds of times. Others enjoy speed up to a lesser degree. The searching implementations did not exhibit a speedup and it is important to consider why. The searches were implemented atomically in the sense that the were not distributed across more than one shader core when they were executed. This means that the CPU and GPU implementation were executing in exactly the same way conversely to the matrix operation implementation for example where the problem could be easily divided into elementary independent routines. This result is important and it will serve as a criteria in the analysis model presented in section 6.1.

## 6.1 GPGPU Viability Analysis Model

As mentioned earlier it was sought to construct a model by which problems could be evaluated to determine the viability of processing them on the GPU. It is proposed that three criteria of the proposed problem be considered:

#### Arithmetic Intensity

Arithmetic intensity refers to the raw amount of mathematical operations to be performed. GPUs excel at this type of computation and gain performance over the CPU.

#### Parallelizability

Parallelizability refers to the extent to which the program can be divided into, identical, routines that can be executed concurrently. This then implies that there can be no dependence on the results of another routine's computation nor the order in which the are computed.

#### Isolation

Isolation refers to extent that parts of the program need to read or write to other routines in the program. Communication is achieved through scatter and gather which are inherently expensive and where possible should be avoided. A low amount of communication implies a high degree of isolation. Evaluating these three criteria of the problem domain can give a good deep sense of understanding of the viability of using GPGPU to solve the problem instead of having to resolve the issue by trial and error.

## 6.2 Future Work

### Optimization

There is a lot of scope for future work in the field of GPGPU. The implementations in chapter 5 were designed to be simple and general in nature. This approach is good for initial analysis but when performance is needed optimization is important. Most of the implementations contained optimization sections where information was presented as to how the problems could be approached to yield better performance. Future work includes implementing these optimizations. With the analysis model in section 6.1 problems can now be evaluated better on the outset to determine whether they will benefit from processing on the GPU. This allows for more complicated, time consuming and larger scale problems to be tackled without the hit-and-miss strategy of having to implement the problem on both the CPU and GPU to see which performs best.

### **SLI** Investigation

There is room for more performance analysis using SLI technologies to see what effect it has on performance. This would introduce interesting complexities about distribution and communication and the results would give further insight into the power of GPGPU.

### GPGPU Meta-Language

GPGPU requires experience in a number of disciplines of computer science including Complexity Theory, Distributed and Parallel Processing, Architecture and Graphics. This can put GPGPU out of reach of the casual programmer as on top of these they require experience in not only a high level language, but also a shader language and knowledge of a Windowing and Graphics API. Future work could include the design and construction of a meta-language that will abstract all this from the programmer. The language could be used to write a program which a translator could them parse and split into a program to create and graphical window, the necessary fragment and vertex shaders for the computation as well as initializing graphics object like textures to store the information.

#### Algorithm 10 GPGPU Meta Language

```
int nums[4]
function mul_two(element i)
{
return i * 2
}
<start_gpu_process>
map(mul_two, array)
<end_gpu_process>
display(array)
```

Consider an example fragment of the meta-language presented in Algorithm 10 which could then be parsed to produce an OpenGL program that creates and 2x2 windows with a 1-to-1 view port aspect ratio. It would also create 2x2 texture called 'nums'. A fragment shader would then also be generated that simply multiplied the colour received by two. The OpenGL program would then include code to render a single screen sized quad to start the computation after which the frame buffer contents were read to display the results.

# Chapter 7

# Conclusion

GPGPU is a powerful resource that has become available to programmers and although quite complex to get to grips with, it is a very viable solution to certain computationally expensive problems. More specifically problems that exhibit data independance, arithmetic intensity and isolation can gain large performance increases. The implementations earlier illustrated this well, where some displayed large performance increases of their CPU counterparts but others were slower.

Graphics developers are well aware of the processing power within their graphics cards are making this available to programmers both NVIDIAs CUDA framework and ATIs Close-to-Metal interfaces makes general computation available to programmers.

Also the potential speed up gained is very large if care and thought it put into the implementation. Graphics processing hardware will continue to become more and more powerful and a good understanding of how general computation is performed on graphics processing units is key to being able to classify problems that will enjoy faster execution times on the GPU. There is a multitude of research that can be done into the field of GPGPU and although only an infant field in computer science now is fast growing popularity and support in industry applications. There is also a lot of scope to take the information presented in this paper and go further with it.

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# Appendix A

# Code Listings

# A.1 Matrix Addition

## A.1.1 Matrix Addition Routine

```
struct RET
{
float4 color : COLOR;
};
RET main(float2 n : WPOS, float2 texCoord : TEXCOORDO, uniform sampler2D aField,
uniform sampler2D bField)
{
    RET OUT;
    float4 S = tex2D(aField, texCoord);
    float4 T = tex2D(bField, texCoord);
    OUT.color = S + T;
    return OUT;
}
```

## A.2 Matrix Multiplication

## A.2.1 Matrix Multiplication Routine

```
struct RET
{
float4 color : COLOR;
};
```

```
int toInteger(float num)
{
return floor(num * 256.0);
}
RET main(float2 n : WPOS, float2 texCoord : TEXCOORDO, uniform sampler2D aField,
uniform sampler2D bField)
{
RET OUT;
 int A[(int)SIZE];
 int B[(int)SIZE];
 for(int i = 0; i < (int)SIZE; i++)</pre>
 ſ
 A[i] = toInteger(tex2D(aField, float2((float)i/SIZE, texCoord.y)).x);
 B[i] = toInteger(tex2D(aField, float2(texCoord.x, (float)i/SIZE)).x);
 }
 int T = 0;
 for(int i = 0; i < (int)SIZE; i++)</pre>
 T += A[i]*B[i];
 OUT.color.x = float(T) / 256.0;
 return OUT;
}
```

# A.3 Searching

## A.3.1 Linear Search Routine

```
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D array1,
uniform float key)
{
    RET OUT;
    OUT.color = tex2D(array1, texCoord);
    for(int i = 0; i < N*N; i++)
    {
      float4 T = tex2D(convert(i));
      if(abs(OUT.color.x - key) < 0.005)
    {
      OUT.color = float4(0.0, 1.0, 0.0, 0.0);
    }
    }
    return OUT;
}</pre>
```

```
if(floor(OUT.color.x * 256) == floor(key * 256.0))
OUT.color = float4(0.0, 1.0, 0.0, 0.0);
return OUT;
}
```

## A.3.2 Binary Search Routine

```
#define SIZE 32
#define LOGN (13)
struct RET
{
float4 color : COLOR;
};
float flatten(float2 v, float s)
{
return floor(v.x * s) + floor(v.y * s) * s;
}
float2 puff(float v, float s)
{
float a = v / s;
float b = fmod(v, s);
return float2(b / s, a / s);
}
float Search(float curpos, float stride, float key, uniform sampler2D data)
{
float2 adr2d = puff(curpos, SIZE);
float4 s = tex2D(data, adr2d);
float dir = (key <= s.x) ? 1.0 : -1.0;
return dir * stride + curpos;
}
float FinalSearch(float curpos, float stride, float key, uniform sampler2D data)
{
float2 adr2d = puff(curpos, SIZE);
float4 s = tex2D(data, adr2d);
float dir = (key <= s.x) ? 0.0 : 1.0;
return dir * stride + curpos;
}
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D array1,
uniform float stride, uniform float key)
{
RET OUT;
OUT.color = tex2D(array1, texCoord);
```

```
float curpos = stride;
for(int i = 0; i < LOGN-1; i++)</pre>
{
stride = floor(stride * 0.5);
curpos = Search(curpos, stride, key, array1);
}
curpos = Search(curpos, 1.0, key, array1);
curpos = FinalSearch(curpos, 1.0, key, array1);
float2 n = puff(curpos, SIZE);
float4 t = tex2D(array1, n);
if(abs(OUT.color.x - key) < 0.005)
{
OUT.color = float4(0.0, 1.0, 0.0, 0.0);
}
return OUT;
}
```

## A.4 Sorting

```
A.4.1 Odd Even Transition Sort Routine
```

```
#define EPSI 0.001
#define SIZE 512.0
#define DELTA (1.0 / SIZE)
#define LBND (0.0)
#define HBND (1.0)
struct RET
{
float4 color : COLOR;
};
bool left(float2 v)
{
return (abs(v.x - LBND) < EPSI);</pre>
}
bool right(float2 v)
{
return (abs(v.x - HBND) < EPSI);</pre>
}
bool top(float2 v)
{
return (abs(v.y - HBND) < EPSI);</pre>
}
bool bot(float2 v)
```

```
{
return (abs(v.y - LBND) < EPSI);</pre>
}
bool topRight(float2 v)
{
if(top(v))
if(right(v))
return true;
return false;
}
bool botLeft(float2 v)
{
if(bot(v))
if(left(v)) return true;
return false;
}
float2 nextRight(float2 v)
{
if(topRight(v)) return v;
if(right(v))
{
v.x = LBND;
v.y = v.y + DELTA;
}
else
{
v.x = v.x + DELTA;
}
return v;
}
float2 nextLeft(float2 v)
{
if(botLeft(v)) return v;
if(left(v))
{
v.x = HBND;
v.y = v.y - DELTA;
}
else
{
v.x = v.x - DELTA;
}
return v;
}
```

```
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D array1,
uniform float oddPass)
{
RET OUT;
float4 s = tex2D(array1, texCoord);
int actual = int(texCoord.x * SIZE); // [ actual = {0..512} ]
bool oddRow = (actual % 2 == 0);
float occi;
if(oddRow) occi = 1.0;
else occi = -1.0;
OUT.color = s;
//if(right(texCoord)) // OUT.color = float4(0.0, 1.0, 0.0, 0.0);
// return OUT; //
if(occi * oddPass > 0.0)
{
float4 t = tex2D(array1, nextRight(texCoord));
if(s.x < t.x) OUT.color = s;</pre>
else
OUT.color = t;
}
else
{
float4 t = tex2D(array1, nextLeft(texCoord));
if(s.x >= t.x) OUT.color = s;
else OUT.color = t;
}
return OUT;
}
```

### A.4.2 Bitonic Merge Sort Routine

```
#include "defines.h"
struct RET
{
float4 color : COLOR;
};
float flatten(float2 v, float s)
{
return floor(v.x * s) + floor(v.y * s) * s;
}
float2 puff(float v, float s)
{
float a = v / s; float b = fmod(v, s);
return float2(b / s, a / s);
```

```
}
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D array1,
uniform float stage, uniform float stepno, uniform float offset)
{
RET OUT;
float4 s = tex2D(array1, texCoord);
float actual = flatten(texCoord, SIZE);
half csign = (fmod(actual, stage) < offset) ? 1 : -1;</pre>
half cdir = (fmod(floor(actual/stepno), 2) == 0) ? 1 : -1;
float gamma = (actual + csign*offset);
float2 next = puff(gamma, SIZE);
float4 t = tex2D(array1, next);
float4 cmin = (s.x < t.x) ? s : t;
float4 \ cmax = (s.x > t.x) ? s : t;
if (csign == cdir) OUT.color = cmin;
else
OUT.color = cmax;
return OUT;
}
```

# A.5 AES Encryption

### A.5.1 SubBytes Routine

```
float Sbox(int A, sampler1D sboxField)
{
  float Af = (float)A / 256.0;
  float4 Sf = tex1D(sboxField, Af);
  return Sf.x;
}
RET main(float2 n : WPOS, float2 texCoord : TEXCOORDO,
  uniform sampler2D aField, uniform sampler1D sboxField)
{
    RET OUT;
    int value = floor(tex2D(aField, texCoord).x * 256.0 - 1.0/256.0);
    OUT.color.x = Sbox(value, sboxField);
    return OUT;
}
```

## A.5.2 ShiftLeft Routine

```
float shiftLeft(float2 P, sampler2D aField)
{
```

```
int Y = floor(P.y * 4.0);
int X = floor(P.x * 4.0);
Y = (Y + X) % 4;
float Re = (float)X/4.0;
float Ce = (float)Y/4.0;
return tex2D(aField, float2(Re, Ce)).x;
}
```

## A.5.3 MixColumns Routine

```
float4 mixColumns(float4 R, uniform sampler2D andField,
suniform sampler2D xorField)
ſ
float4 B, K, H, A = R;
H.x = AND(toInteger(R.x), 128, andField);
H.y = AND(toInteger(R.y), 128, andField);
H.z = AND(toInteger(R.z), 128, andField);
H.w = AND(toInteger(R.w), 128, andField);
B.x = subProcess(R.x, (toInteger(H.x) == 128));
B.y = subProcess(R.y, (toInteger(H.y) == 128));
B.z = subProcess(R.z, (toInteger(H.z) == 128));
B.w = subProcess(R.w, (toInteger(H.w) == 128));
if(toInteger(H.x) == 128)
B.x = XOR(toInteger(B.x), 27, xorField);
if(toInteger(H.y) == 128)
B.y = XOR(toInteger(B.y), 27, xorField);
if(toInteger(H.z) == 128)
B.z = XOR(toInteger(B.z), 27, xorField);
if(toInteger(H.w) == 128)
B.w = XOR(toInteger(B.w), 27, xorField);
float4 Ta, Tb, Tc;
Ta.x = XOR(toInteger(B.x), toInteger(A.w), xorField);
Ta.y = XOR(toInteger(B.y), toInteger(A.x), xorField);
Ta.z = XOR(toInteger(B.z), toInteger(A.y), xorField);
Ta.w = XOR(toInteger(B.w), toInteger(A.z), xorField);
```

```
Tb.x = XOR(toInteger(B.y), toInteger(A.z), xorField);
Tb.y = XOR(toInteger(B.z), toInteger(A.w), xorField);
Tb.z = XOR(toInteger(B.w), toInteger(A.x), xorField);
Tb.w = XOR(toInteger(B.x), toInteger(A.y), xorField);
Tc.x = XOR(toInteger(Ta.x), toInteger(Tb.x), xorField);
Tc.y = XOR(toInteger(Ta.y), toInteger(Tb.y), xorField);
Tc.z = XOR(toInteger(Ta.z), toInteger(Tb.z), xorField);
Tc.w = XOR(toInteger(Ta.w), toInteger(Tb.w), xorField);
K.x = XOR(toInteger(Tc.x), toInteger(A.y), xorField);
K.y = XOR(toInteger(Tc.y), toInteger(A.z), xorField);
K.z = XOR(toInteger(Tc.z), toInteger(A.w), xorField);
K.w = XOR(toInteger(Tc.w), toInteger(A.w), xorField);
K.w = XOR(toInteger(Tc.w), toInteger(A.w), xorField);
K.w = XOR(toInteger(Tc.w), toInteger(A.x), xorField);
```

### A.5.4 AddRoundkey

```
float addRoundKey(float2 P, sampler2D aField, sampler1D keyField,
sampler2D xorField, float offset)
{
float base = (offset + floor(P.y * 4.0) * 4.0 + floor(P.x * 4.0)) / 256.0;
int H = (floor)(tex1D(keyField, base).x * 256.0);
int K = (floor)(tex2D(aField, P).x * 256.0);
return XOR(K, H, xorField);
}
```

## A.6 Logical Operation Routine

```
float4 logicalOperation(int A, int B, sampler2D logicalField)
{
float Af = (float)A / 256.0;
float Bf = (float)B / 256.0;
float4 Sf = tex2D(xorField, float2(Af, Bf));
return Sf;
//Sf.x == A & B
//SF.y == A | B
//Sf.z == A ^ B
}
```

## A.7 Rendering Fractal Images

## A.7.1 Mandelbrot Fractal Routine

```
struct RET { float4 color : COLOR; };
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D decal,
uniform float xpos, uniform float ypos, uniform float zoom)
{
RET OUT;
float2 n;
float2 c;
c.x = xpos - 0.5*zoom + texCoord.x*zoom;
c.y = ypos - 0.5*zoom + texCoord.y*zoom;
n.x = c.x;
n.y = c.y;
int i = 0; for(i = 0; i < 2048; i++)
{
float tmp = n.x*n.x - n.y*n.y + c.x; n.y = 2.0*n.x*n.y + c.y;
n.x = tmp;
if(n.x*n.x + n.y*n.y > 4.0) break;
}
float k = n.x*n.x + n.y*n.y;
if(k < 4.0)
OUT.color = float4(0.0, 0.0, 0.0, 0.0);
else OUT.color = float4( 1.0 - (float)i/200.0/2.0, (float)i/200.0, 0.0, 0.0);
return OUT;
}
```

## A.8 Cellular Automata

### A.8.1 Grid Simulation Routine

```
struct RET
{
float4 color : COLOR;
};
RET main(float2 texCoord : TEXCOORDO, uniform sampler2D decal)
{
RET OUT;
half4 ns;
half4 ds;
half2 uv = texCoord.xy;
float4 centre = tex2D(decal, texCoord);
```

```
uv.x = texCoord.x;
uv.y = texCoord.y - 1.0/512.0;
ns.x = tex2D(decal, uv).y;
uv.x = texCoord.x;
uv.y = texCoord.y + 1.0/512.0;
ns.y = tex2D(decal, uv).y;
uv.x = texCoord.x - 1.0/512.0;
uv.y = texCoord.y;
ns.z = tex2D(decal, uv).y;
uv.x = texCoord.x + 1.0/512.0;
uv.y = texCoord.y;
ns.w = tex2D(decal, uv).y;
uv.x = texCoord.x - 1.0/512.0;
uv.y = texCoord.y - 1.0/512.0;
ds.x = tex2D(decal, uv).y;
uv.x = texCoord.x - 1.0/512.0;
uv.y = texCoord.y + 1.0/512.0;
ds.y = tex2D(decal, uv).y;
uv.x = texCoord.x + 1.0/512.0;
uv.y = texCoord.y - 1.0/512.0;
ds.z = tex2D(decal, uv).y;
uv.x = texCoord.x + 1.0/512.0
; uv.y = texCoord.y + 1.0/512.0;
ds.w = tex2D(decal, uv).y;
float cnt = 0.0f; bool valid;
valid = (ns.x == 1.0f);
if(valid) cnt += 1.0f;
valid = (ns.y == 1.0f);
if(valid) cnt += 1.0f;
valid = (ns.z == 1.0f);
if(valid) cnt += 1.0f;
valid = (ns.w == 1.0f);
if(valid) cnt += 1.0f;
valid = (ds.x == 1.0f);
if(valid) cnt += 1.0f;
valid = (ds.y == 1.0f);
if(valid) cnt += 1.0f;
valid = (ds.z == 1.0f);
if(valid) cnt += 1.0f;
valid = (ds.w == 1.0f);
if(valid) cnt += 1.0f;
OUT.color = centre;
if(centre.y == 0.0h)
{
```

```
if(cnt == 3.0f)
OUT.color = float4(0.0, 1.0, 0.0, 0.0); }
else
{
    if (cnt < 2.0f) OUT.color = float4(0.0, 0.0, 0.0, 0.0);
    if (cnt > 3.0f) OUT.color = float4(0.0, 0.0, 0.0, 0.0);
    if (cnt == 2.0f) OUT.color = float4(0.0, 1.0, 0.0, 0.0);
    if (cnt == 3.0f) OUT.color = float4(0.0, 1.0, 0.0, 0.0);
    }
    return OUT;
}
```